



# Specimen preparation for SEM

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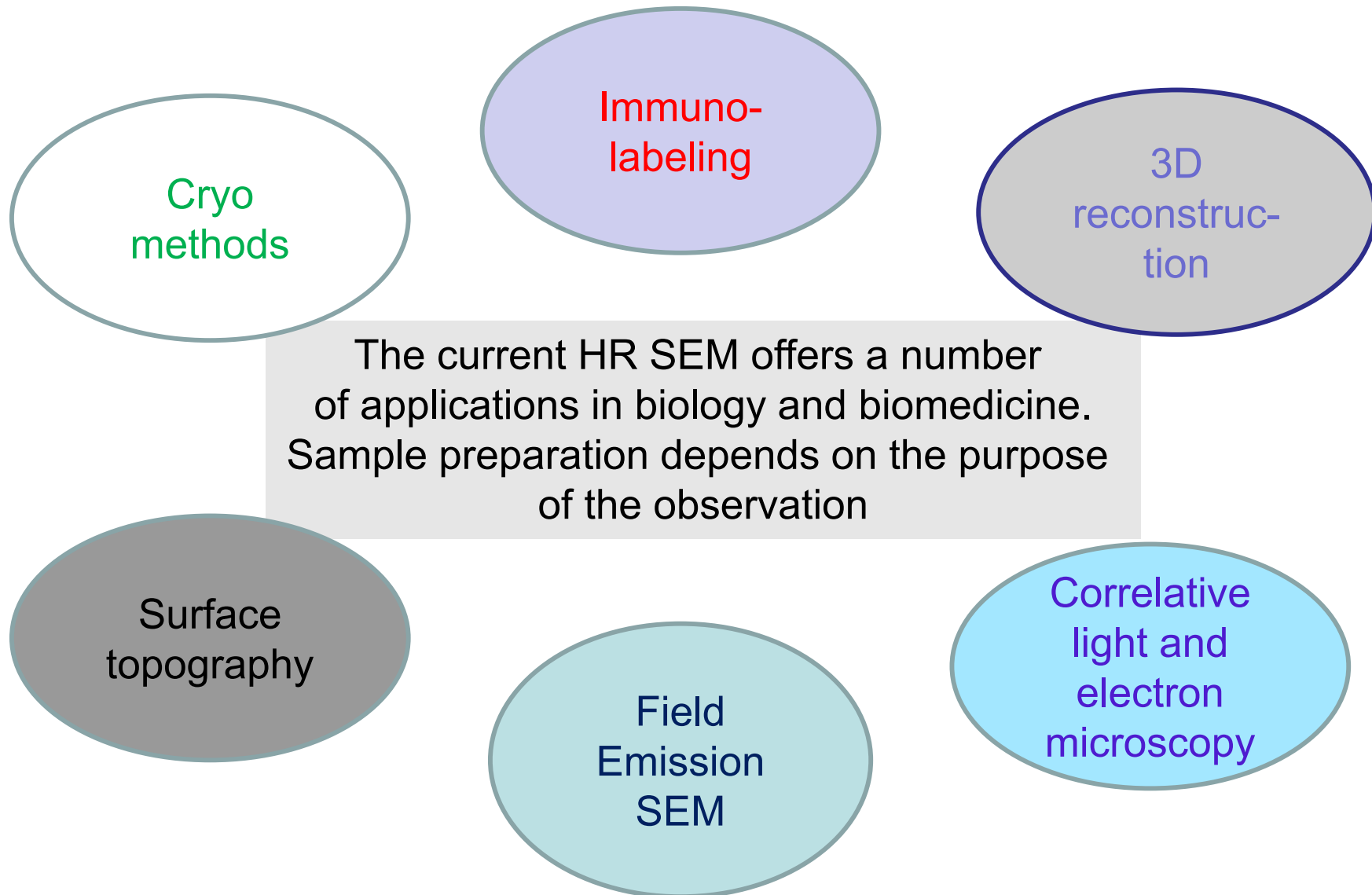
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PŘÍRODOVĚDECKÁ  
FAKULTA  
Univerzita Karlova

# SEM Applications



Surface  
topography

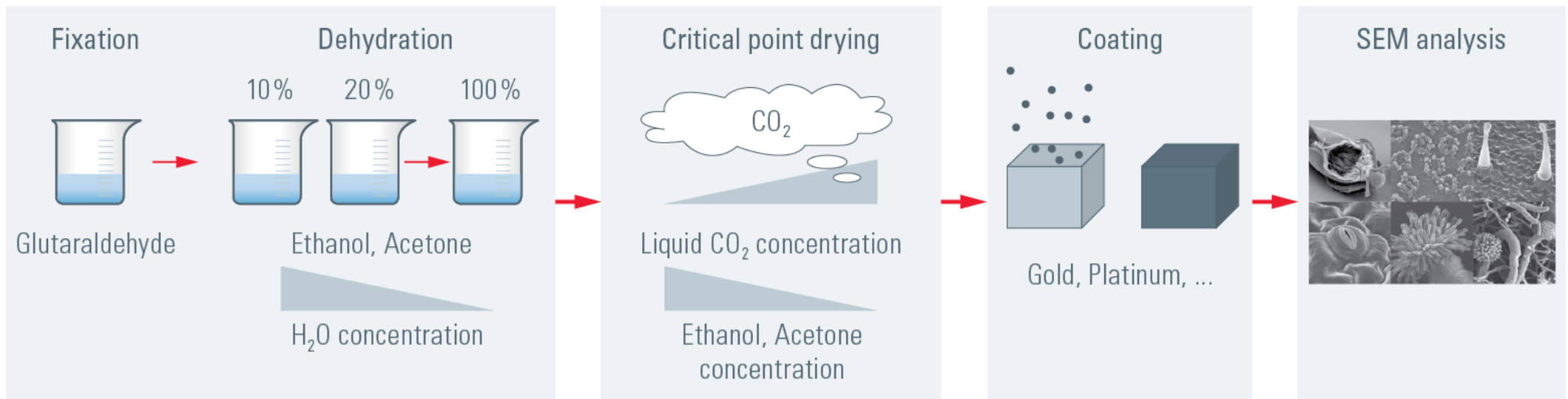
# Specimen criteria for the EM examination

- Removing of water or other volatile components from the specimen:
  - totaly – HV SEM (dry specimen)
  - partly – LV SEM (70 % )
  - without – ESEM (wet)
- Ability to remain unchanged under high vacuum conditions
- Stability when exposed to electron beam
- Sufficient production of detected signals
- Stopping the changes associated with removing and processing of the sample
- Appropriate size for the SEM

Surface  
topography

# Standard procedure of specimen preparation at RT

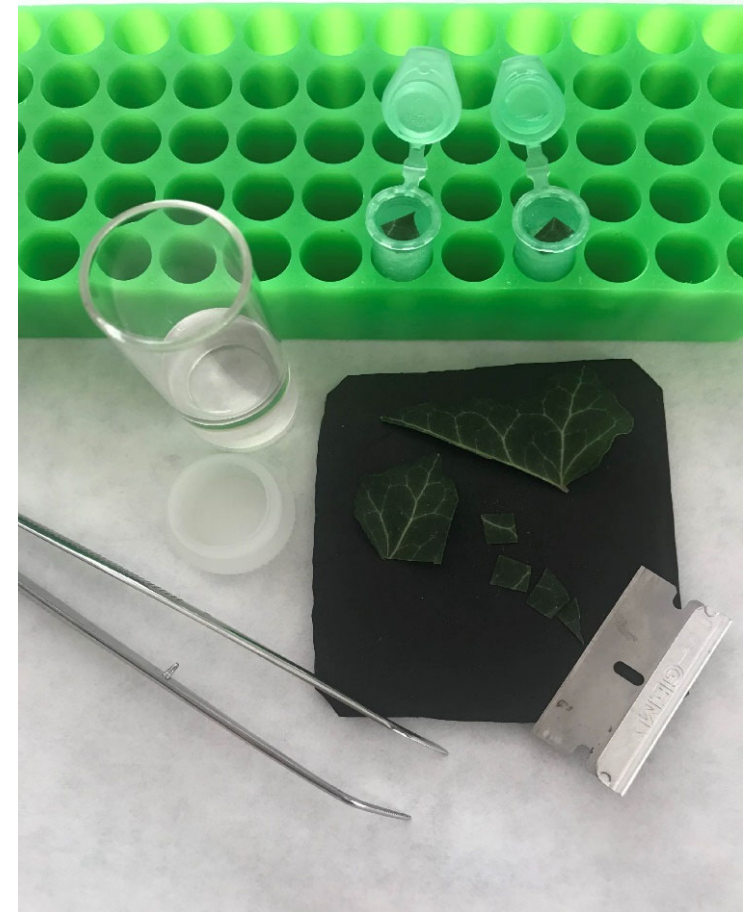
- Fixation
- Dehydration
- Drying
- Coating
- Examination in SEM





# Chemical fixation

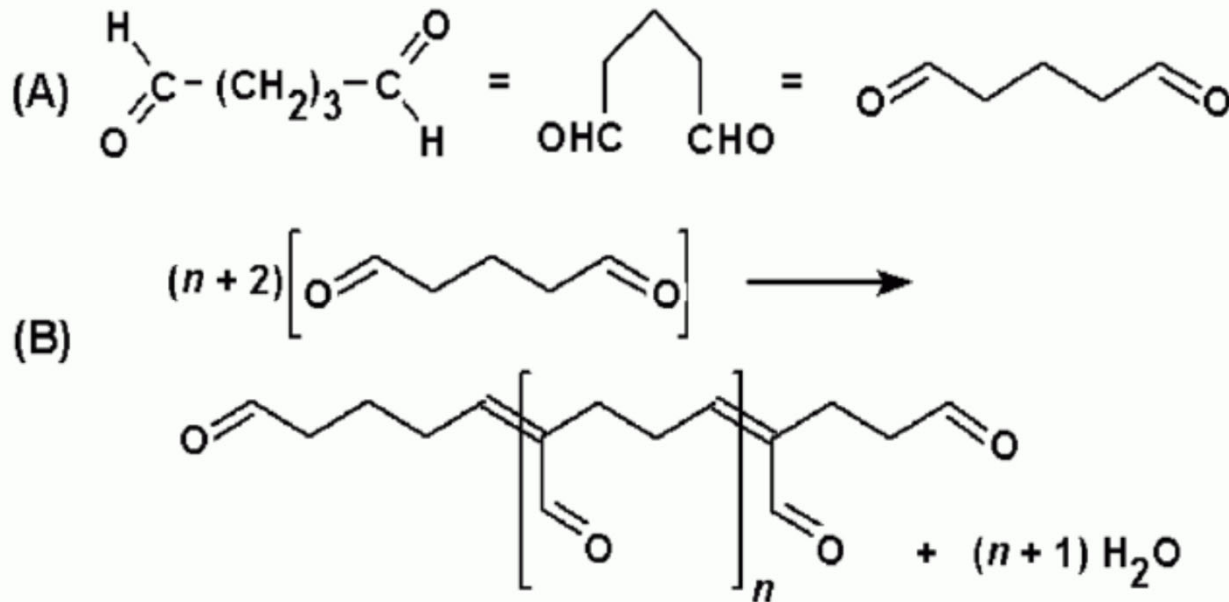
- To preserve cell and tissue organization as near as possible to the native state.
- To arrest living process in cells.
- To protect the sample against all damages in following preparation steps leading to minimal deterioration of fine structure.
- To arrest autolysis and bacterial decay.



*Plant sample sizing*

# Glutaraldehyde $\text{OHC}-(\text{CH}_2)_3-\text{CHO}$

*Introduced by Sabatini et al, 1962*



- Aliphatic dialdehyde which forms colourless crystals that are highly soluble in water, ethanol and most organic solvents.
  - In aqueous solutions, GA polymerizes into polymer chains with variable size.
  - GA fixative solutions must contain monomer and low polymers with small molecules to penetrate cell membranes fast enough
  - GA (EM grade, 8-25 %) diluted in solutions (1-4%) are used for fixation, which are relatively stable with a pungent odour.
- GA is able to cross-link proteins rapidly, effectively and irreversibly and forms large, three-dimensional network throughout the cytoplasm in tenths of second to minutes
  - GA in solution is uncharged and can thus rapidly cross all biological membranes.

# Glutaraldehyde

- GA preserves mainly **proteins**, because it can react with several functional groups of proteins, such as amine, thiol, phenol and imidazol.
- GA cross linking preserves 3D structure of proteins
- It preserve also other mocromolecules associated with proteins (lipoproteins, histoproteins associated with DNA). GA cross-linking reactions are horribly complex leading to the formation of broad spectrum of conjugates.
- **Glycogen** may be preserved but the majority of carbohydrates will be extracted in the next preparation steps.
- Most **lipids** do not react with GA, with exception of phospholipids containing primary amines.
- Many **enzymes** remain active after aldehyde treatment. Molecules that are not immobilized may be relocated resulting in a false location or negative results in immunolabelling.

# Glutaraldehyde

- The reaction is influenced by a ratio of GA to free amines (2:1), too high concentration of GA can inhibit the formation of the rapid cross-links
- The reaction with amines is accompanied by a significant release of protons and ensuing drop in pH – buffered fixation solution
- The fixation process consumes oxygen - adding azide (inhibit respiration) or hydrogen peroxide help cross-linking.

Work with GA carefully! Avoid the contact with the skin and eyes, prolonged breathing. Repeated exposure to GA may cause contact dermatitis. A well-ventilated hood, gloves and protective clothing are necessary!

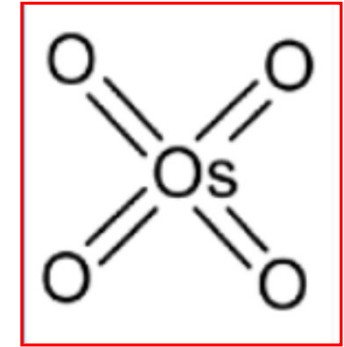
# Formaldehyde

# H-CHO

- At room temperature FA is a colorless gas highly soluble in water. Both liquid and gas polymerize spontaneously.
- FA is commercially available as a concentrated aqueous solution (Formalin) or in a polymerized state as a dehydrated powder – paraformaldehyde (PFA).
- FA molecule is smaller than GA, thus rapidly penetrates into sample (5 times faster than GA).
- **Karnovsky's fixative – the combination of FA and GA (recommended for larger size samples with a poor penetration).**
- Substances such as carbohydrates, lipids and nucleic acids are trapped in a matrix of insolubilized and cross-linked protein molecules but they are not chemically changed by formaldehyde.
- FA links weakly proteins, therefore it is a preferred fixative for **immunolabeling** techniques.

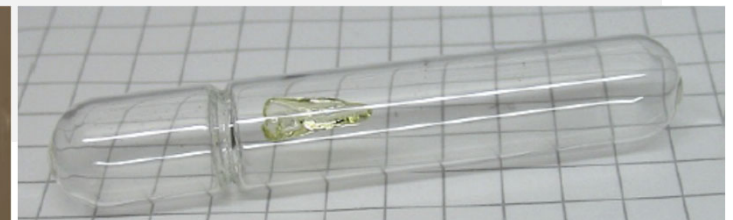
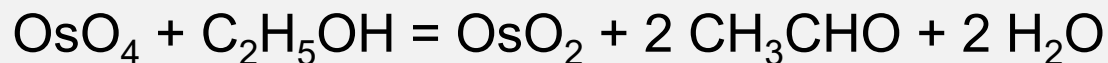
Surface  
topography

# Osmium tetroxide



*Introduced by Claude in 1948*

- The molecule is symmetrical and contains four double bonded oxygen atoms.
- Osmium can exist in nine oxidative states, five of which are quite stable
- Osmium tetroxide is soluble in both polar (aqueous) and non-polar media. Thus can fix both hydrofobic (e.g. membrane phospholipids) and hydrofilic domains in cells.
- It is highly volatile, it can be used also as a vapor fixative.
- Os is electron opaque, works as a stain, as well as fixative.
- Osmium tetroxide also act as a mordant, it enhances lead staining.
- It causes rapid permeabilization of membranes with cessation of cytoplasmic movement within second to minutes.
- It is the most slowly penetrating fixative and has no cross-linking capabilities.
- It reacts with ethanol to form black precipitates:



# Osmium tetroxide

- Interact directly with unsaturated lipids oxidizing double bonds, leading to the formation of monoesters, diesters and dimeric monoesters.
- Specimens generally turn black after osmification.
- It causes hardening of tissues.
- Osmium tetroxide can also react with some proteins and lipoproteins complexes. Prolonged fixation results in the progressive denaturation of proteins.
- As a strong oxidant it damages the majority of antigens
- Limited penetration into tissue (200  $\mu\text{m}$ )



Be extremely careful in handling  $\text{OsO}_4$ . It is dangerous to eyes, respiratory and alimentary membranes. Used or excess of  $\text{OsO}_4$  must be stored in sealed glass containers. Avoid the direct contact by wearing plastic gloves and working in well-ventilated hood.



# Fixation solution

- Glutaraldehyde, formaldehyde: 1-4%
- Osmium tetroxide: 1-4%
- Buffers - phosphate, cacodylate, HEPES...
- Other substances improving fixation like hydrogen peroxide, tannic acid etc.
- Isotonic or slightly hypertonic solution



# Dehydration

- To replace water from samples by organic solvents (ethanol, acetone, propylene oxide)
- Caused shrinkage, extraction of various cellular components, changes in shape and size of sample
- Usually performed using a solution series with increasing concentration of organic solvent

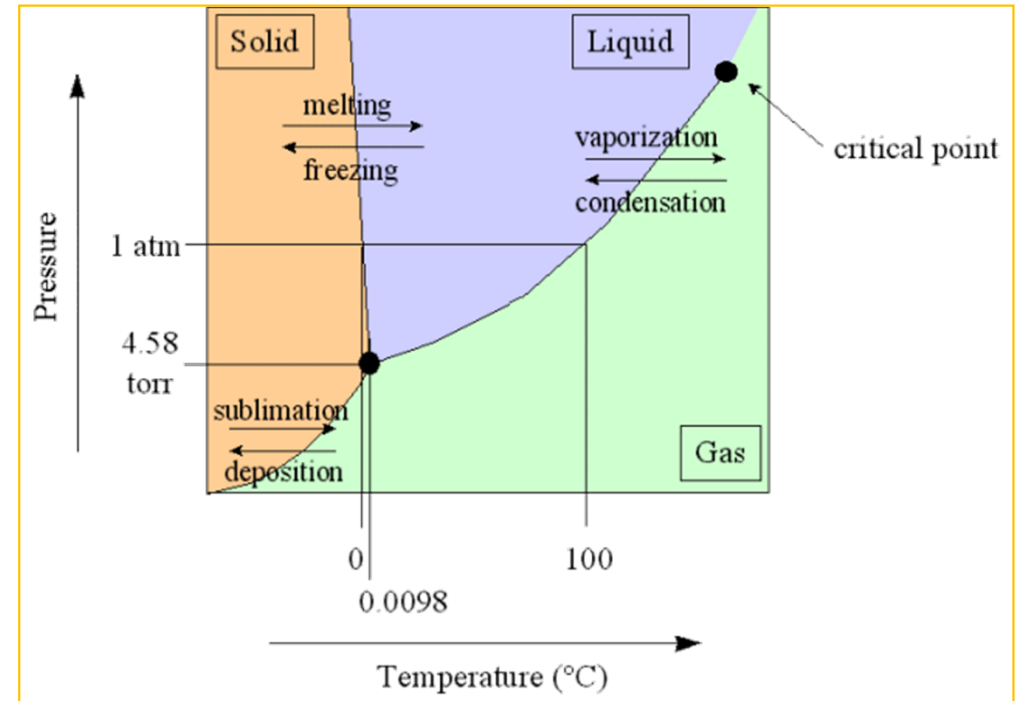


Dehydrants are hygroscopic, they are able to absorb water from the air. It is important to keep dehydrants sealed!

Surface  
topography

# Drying

Critical point drying method  
- phase change from the  
liquid to the dry gas without  
the effects of surface  
tension on a specimen

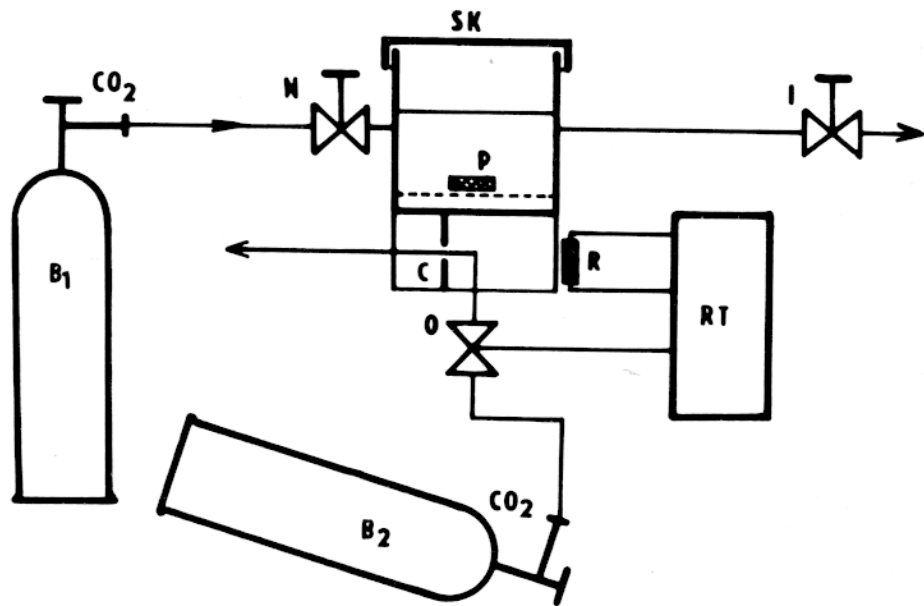


## CRITICAL CONSTANTS

Substance	Temp. °C	P.S.I
HYDROGEN	-234.5	294
OXYGEN	-118	735
NITROGEN	146	485
CARBON DIOXIDE	+31.1	1072
CARBON MONOXIDE	+141.1	528
WATER	+374	3212

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# CPD method



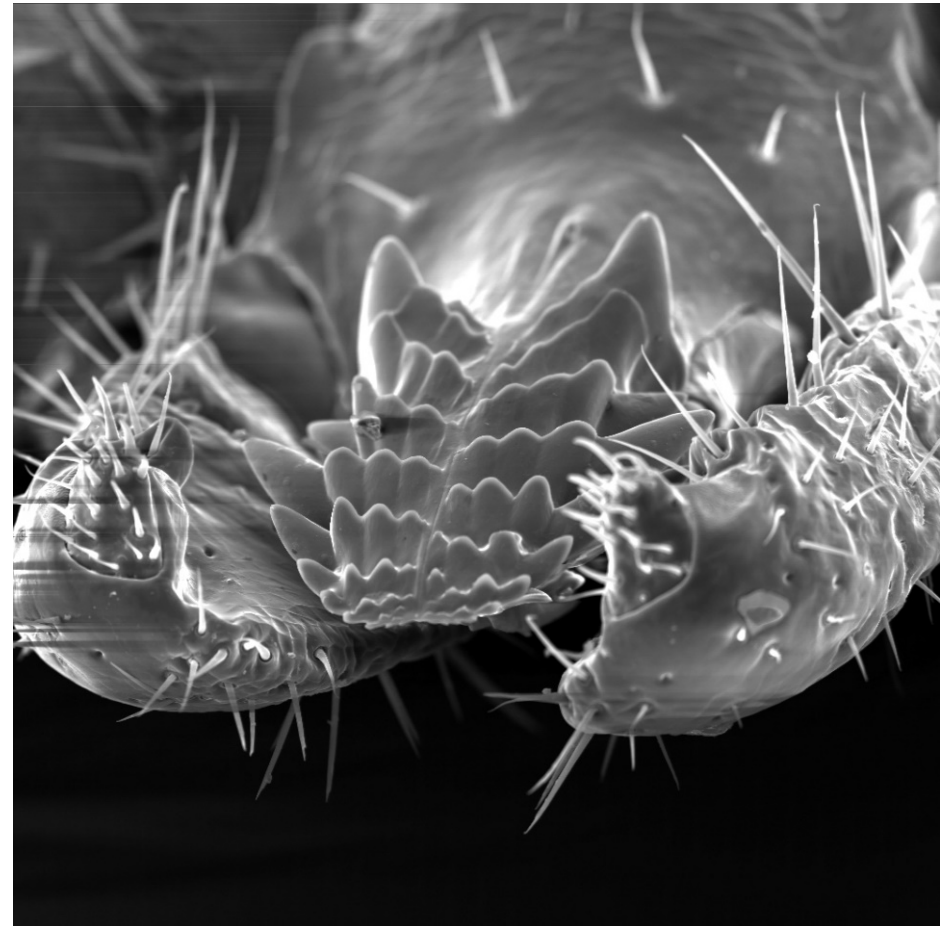
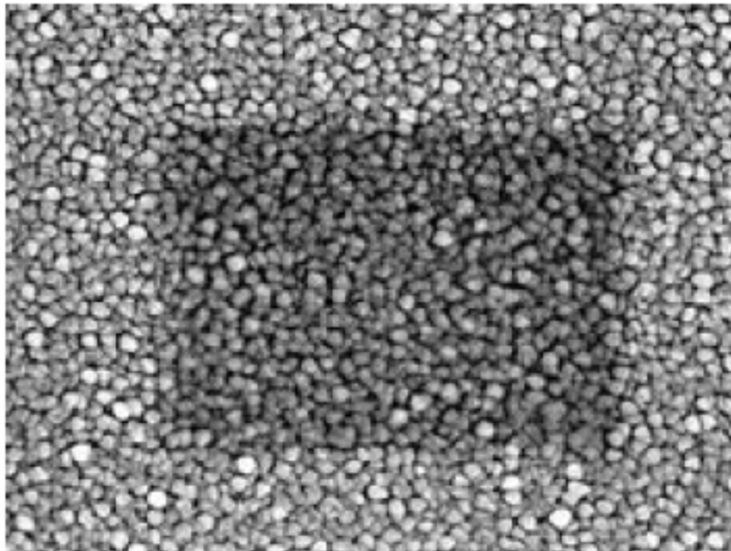
The ethanol/acetone is replaced by liquid carbon dioxide, then the CO<sub>2</sub> is brought to its critical point and converted to the gaseous phase without crossing the interfaces between liquid and gaseous avoiding the damaging effects.

Surface  
topography

# Specimen Coating

Charging – a material cannot conduct the beam energy imparted to it.

Contamination – the interaction of the electron beam with residual gases and hydrocarbons on the specimen surface



# Specimen mounting

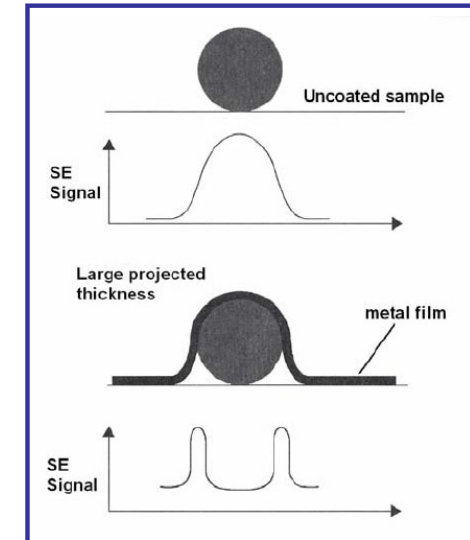
- The dry specimen is mounted on a metal stub using a sticky carbon/copper disc/tape which increases conductivity. Silver-containing glue can additionally be applied for even more conductivity.
- Dry biological samples are not conductive. To prevent charge build-up on specimen surface, it is coated with a conductive material. The metal is applied in a controlled manner in special devices. It is critical that the coating is thick enough to prevent charging (typically around 10 nm) but not thick enough to obscure specimen surface details



Surface  
topography

# Specimen Coating

- increasing of the conductivity of dry specimen
- reduction of the charging effect
- reduction of thermal damage
- improvement of SE and BSE emission



Element	Z	Thermal conductivity at RT (W/cm/K)	Resistivity at RT (W .m)
Carbon	6	1.29	$3.5 \times 10^{-5}$
Aluminium	13	2.37	$2.82 \times 10^{-8}$
Palladium	46	0.72	$1.1 \times 10^{-7}$
Silver	47	4.29	$1.60 \times 10^{-8}$
Platinum	78	0.72	$1 \times 10^{-7}$
Gold	79	3.17	$2.44 \times 10^{-8}$

Surface  
topography

# Specimen coating

The most important parameters – film thickness, homogeneity and granularity  
The film thickness depends – deposition rate, sputtered material, the distance between the metal target and sample position

The measurement of film thickness:  
Quartz crystal thickness monitor  
Contaminations:  
The cleanness of vacuum chamber  
The film granularity:  
Selection of coating Metals.  
Au, Pt, Pd for HR  
W, Ir, Ch for ultra HR

Sputter coater



BalTec SCD050

Vacuum evaporator



JEOL JEE4C

# Special methods

- Fixation in  $\text{OsO}_4$  vapors:
- the sample is enclosed in a sealed container together with the crystal of  $\text{OsO}_4$  and placed in the freezer for 2-3 weeks. Fixation with  $\text{OsO}_4$  vapors strengthens the sample surface, which then gradually dries without any manipulation and deformation.
- **Suitable for gentle samples**



# Special methods

- Dehydration with t-Butyl Alcohol:
- Ethanol is used as a dehydration agent. A sample in 100% ethanol is transferred to 100% t-BA at a temperature above 25°C.
- Then the sample is placed in the refrigerator (6 °C). Amorphous solid tBA is sublimated using a rotary vacuum pump.
- **Suitable for gentle samples**

# Artifacts

- Sample compression or shape changes caused by dehydration and high pressure during CPD
- Insufficient or irregular thickness of conductive layers can cause charging
- Microwave irradiation can be used in each step of specimen preparation and improves the sample preservation

# Cryo methods

## Advantages:

- structure preservation close to native state
- no fixation, no dehydration, no coating
- low accelerating voltage (>5 kV)
- observation of time depending processes in cells

## Disadvantages:

- expensive equipment (cryo-attachment)
- necessity to store the sample in liquid nitrogen

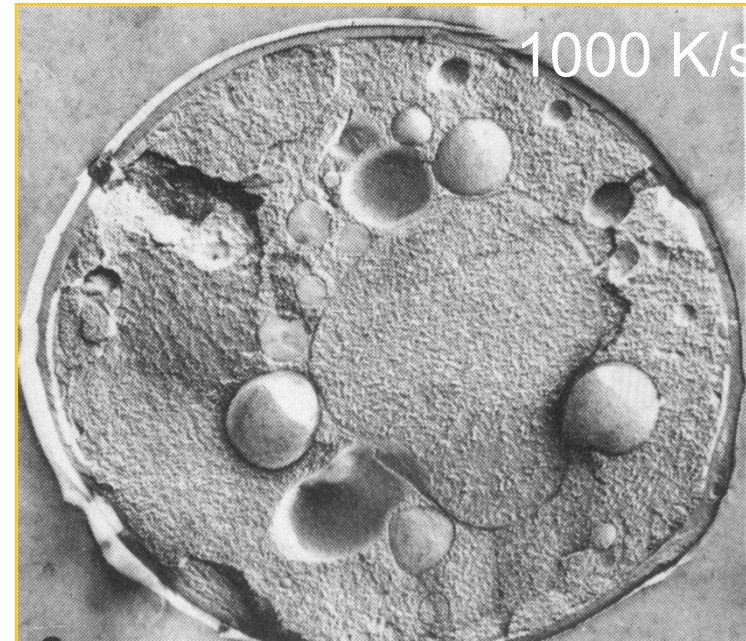
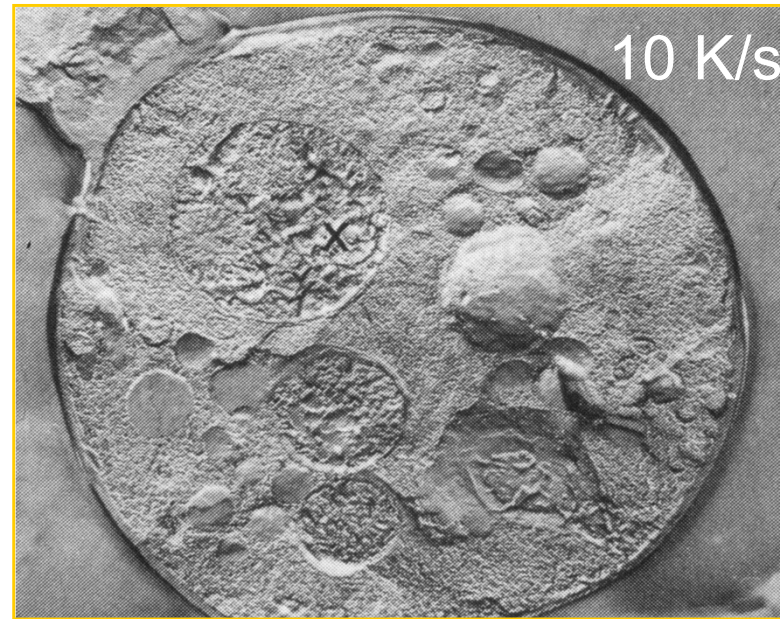
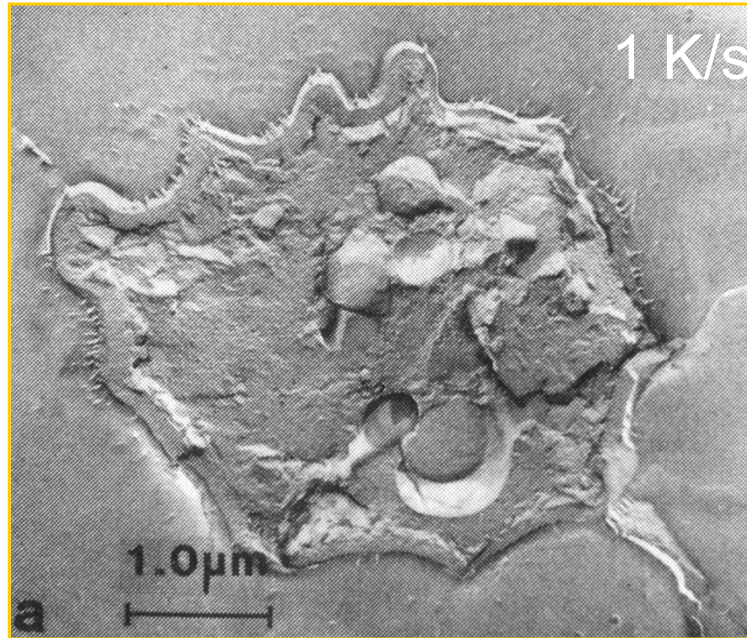
# Cryo fixation

- Cryo-fixation - based on vitrification of samples without ice crystal formation during cooling (amorphous ice)
- High pressure freezing (HPF) – the best methods of vitrification of bulk samples containing water (biological samples, hydrogels etc.)
- *HPF - Moor H, Riehle U (1968) Snap-freezing under high pressure: a new fixation technique for freeze-etching. In: Bocciarelli DS (ed) Proceedings of the fourth European regional conference on electron microscopy vol 2. Rome, pp 33–34*



Cryo  
methods

# Cryo - fixation



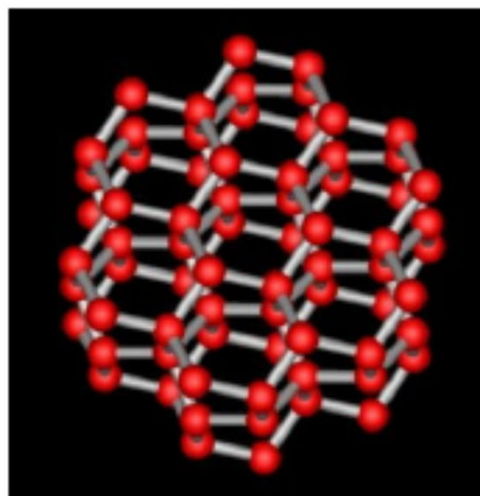
**Cooling speed**  
**>  $10^5$  K/s**

Robards AW, Sleytr UB, Low Temperature Methods in Biological Electron Microscopy. In: *Practical Methods in Electron Microscopy*, Glauert AM (ed), vol 10, Elsevier, Amsterdam, 1985.



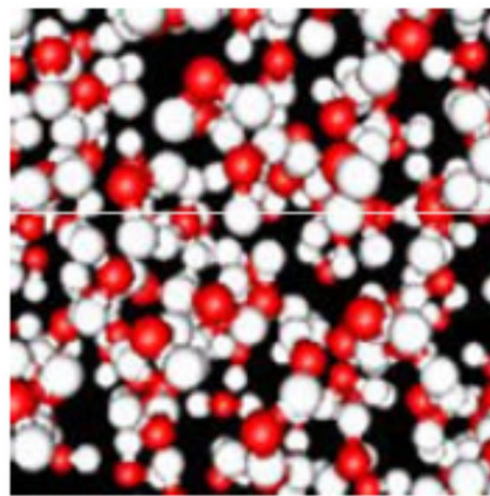
# Freezing and vitrification

*Vitrification* (from Latin *vitreum*, "glass" via French *vitrier*) is the transformation of a substance into a glass.



crystalline ice

-lower density than water  
in liquid state



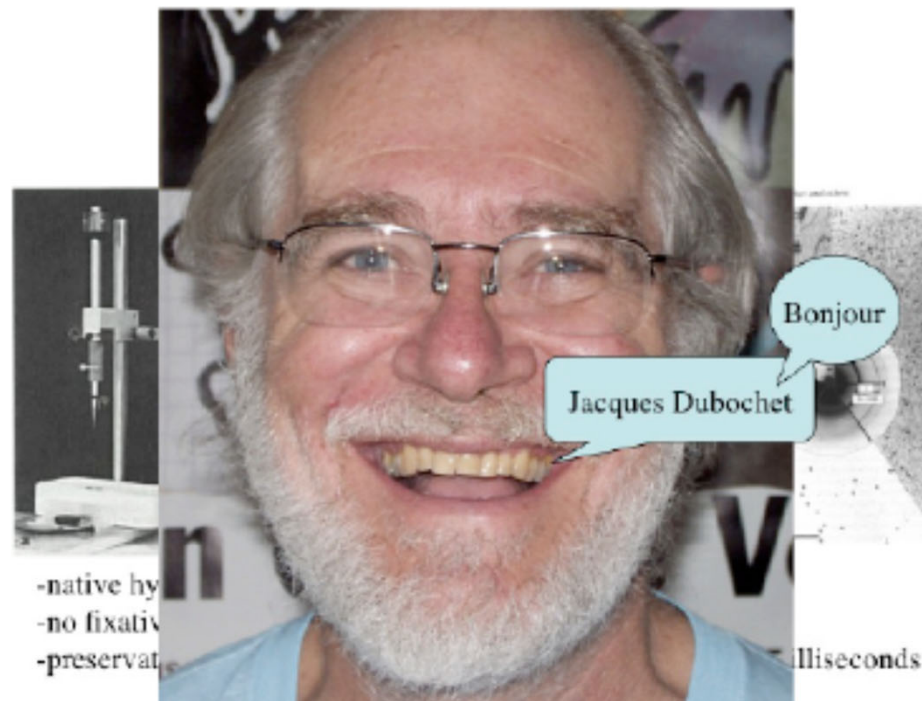
vitreous = amorphous = glassy ice

-density of liquid water and vitreous  
ice is about the same

- no segregation of solutes and solvents

## Vitrification and amorphous ice

- Brügeller P and Mayer E. 1980 - vitrification of water
- Dubochet and McDowell introduced water in electron microscopy. Discovery of water vitrification and development of cryo-electron microscopy (1981).



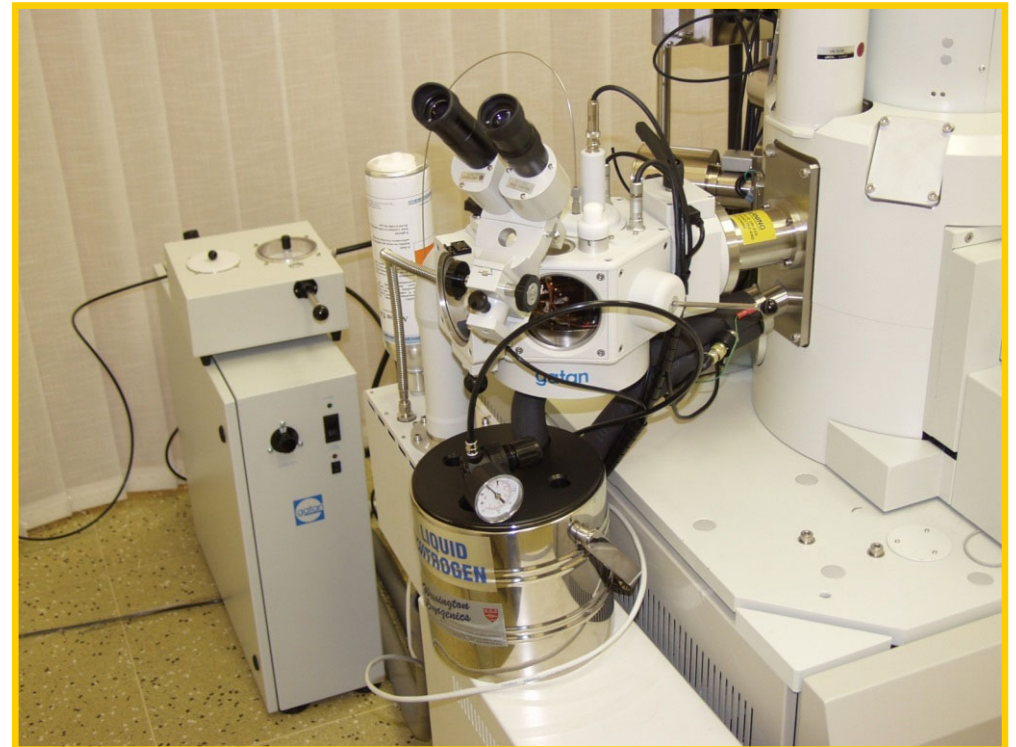
Water becomes solid while remaining in amorphous state.

Cooling rate must be high enough that the crystals do not have time to form

Cryo  
methods

# Cryo - SEM

- Direct observation of frozen specimens in FESEM equipped with cryo-attachment
1. Cryofixation with slushy nitrogen
  2. Transfer to preparation chamber under vacuum
  3. Treatment of sample: Fracturing, etching, coating
  4. Transfer to the cold stage of SEM and the examination





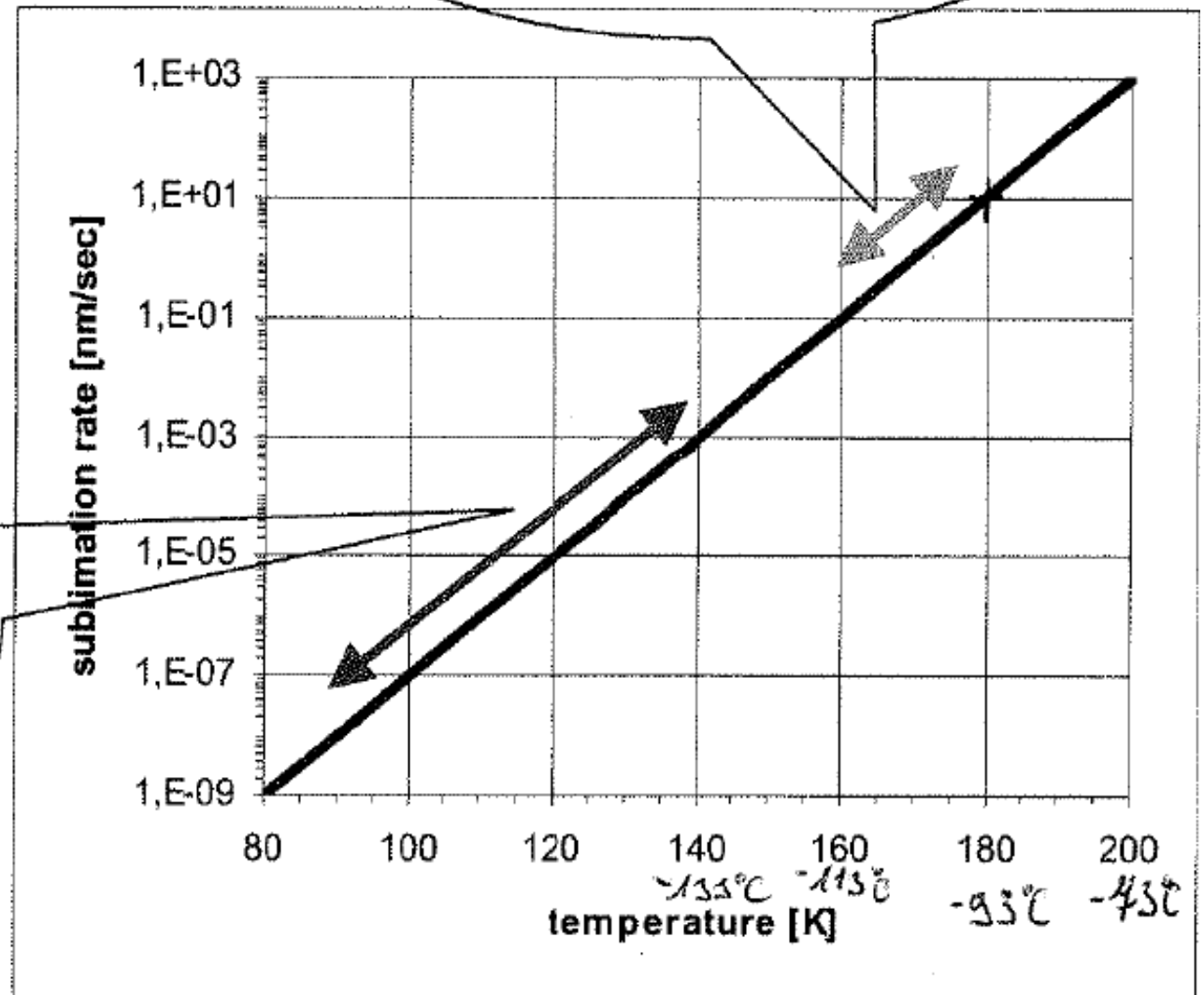
Cryo  
methods

# Ice sublimation



Sublimation  
(freeze etching)  
T~160K to 180K  
(-110°C to -90°C)

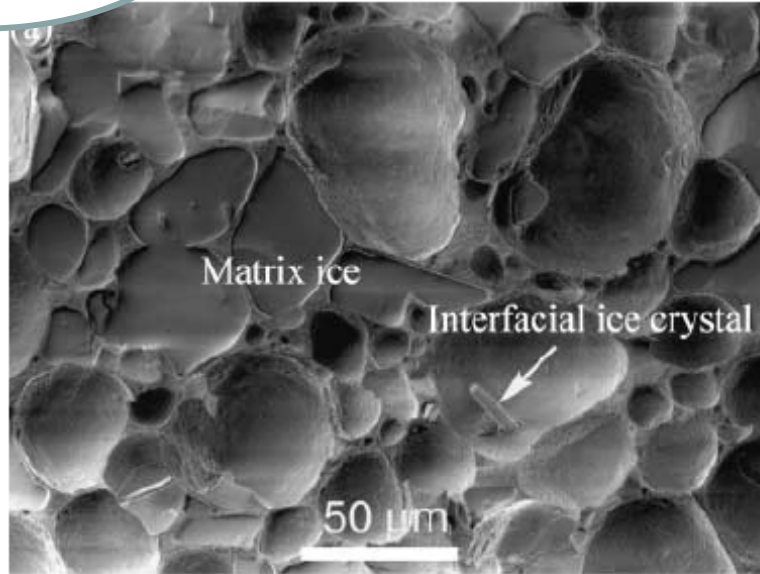
Examination:  
T < 140K (-130°C)  
Sublimation rate  
<0,001nm/s  
<4nm/h



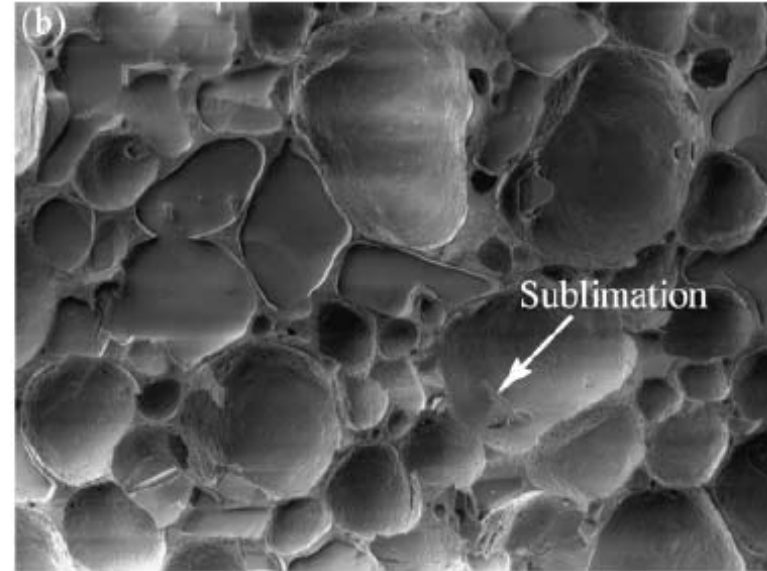
Cryo  
methods

# Ice sublimation of ice cream

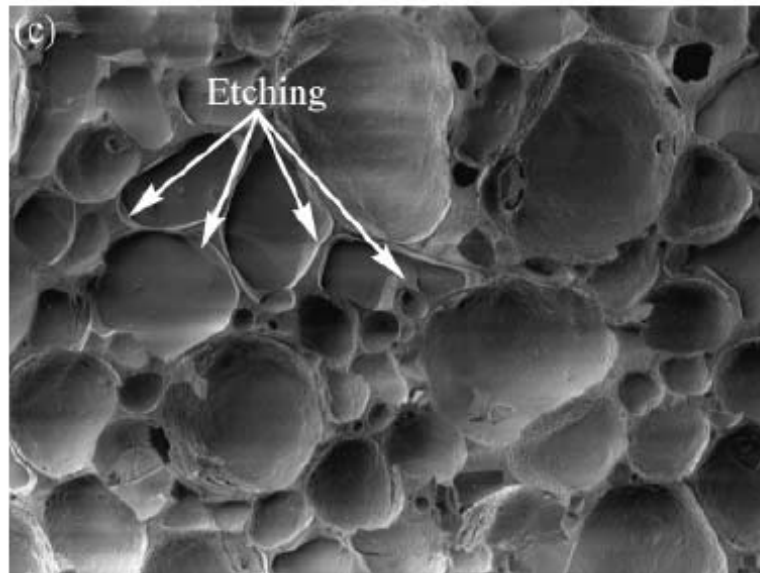
5min  
-110°C



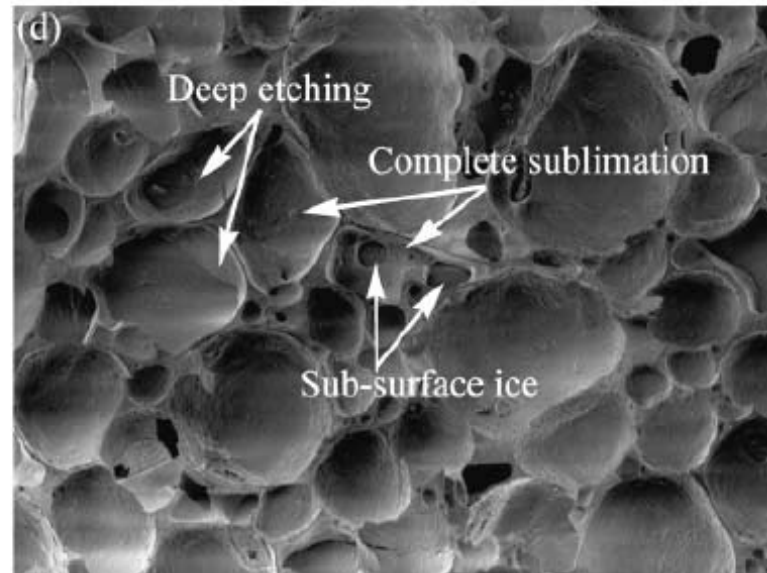
5min  
-100°C



7min  
-95°C



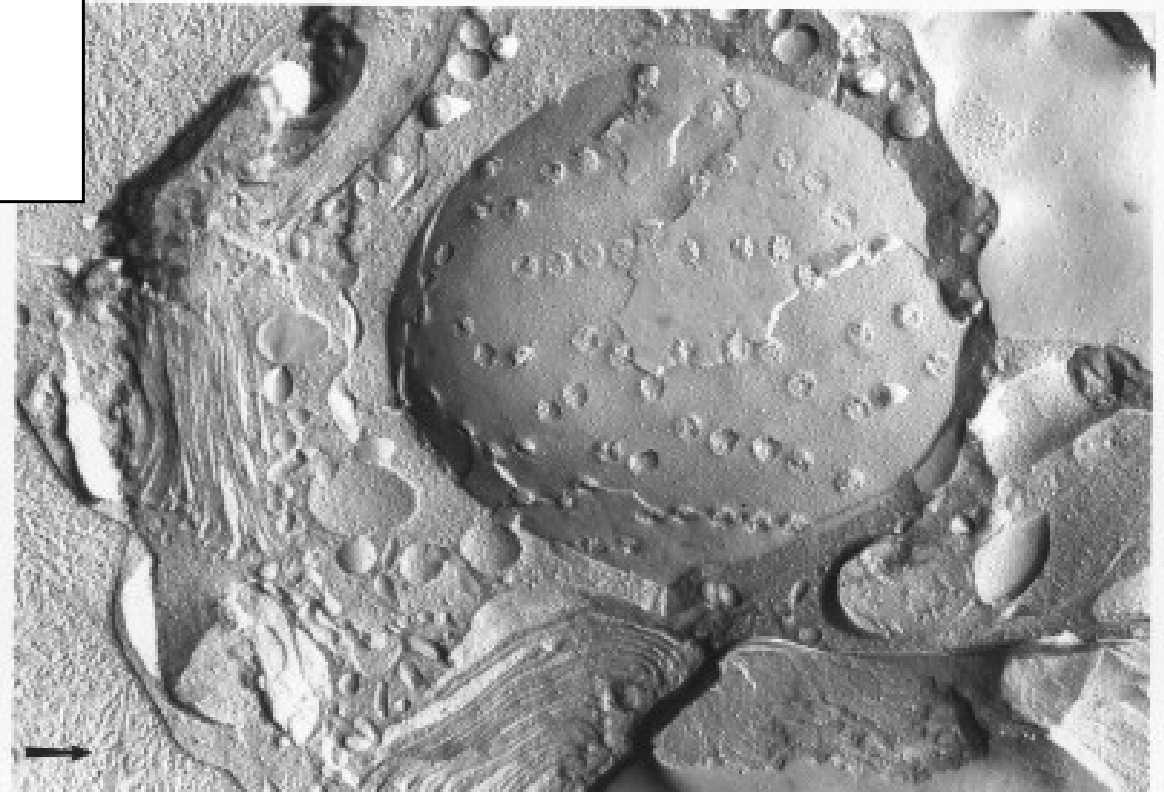
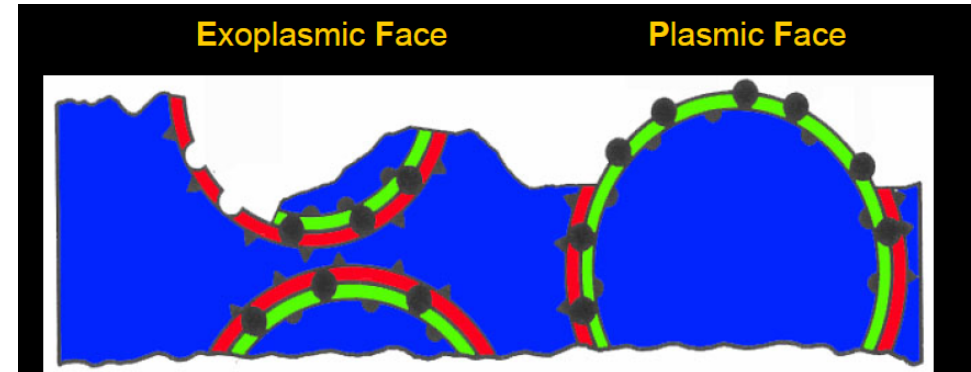
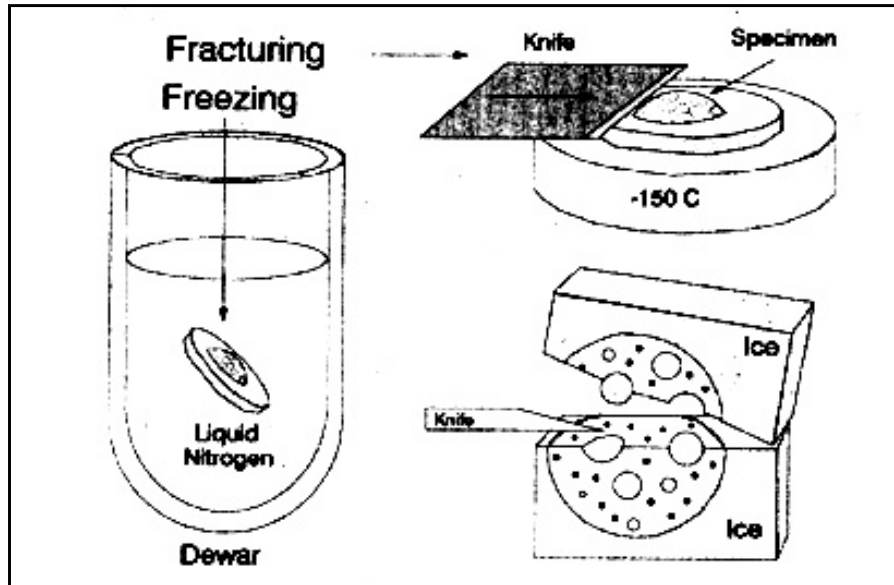
4min  
-90°C



Stokes D.J. et al, *Journal of Microscopy*, 213, 2004, 198-204

Cryo  
methods

# Freeze fracturing



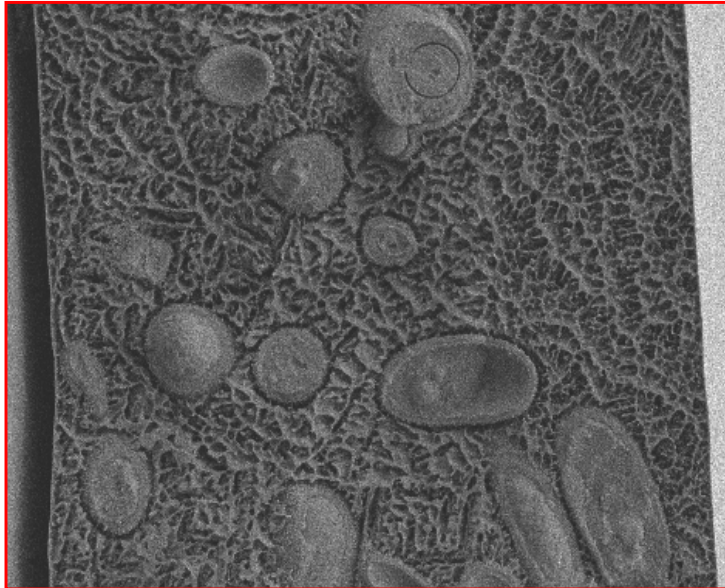
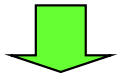
The technique used to look at inner ultrastructure of a frozen sample



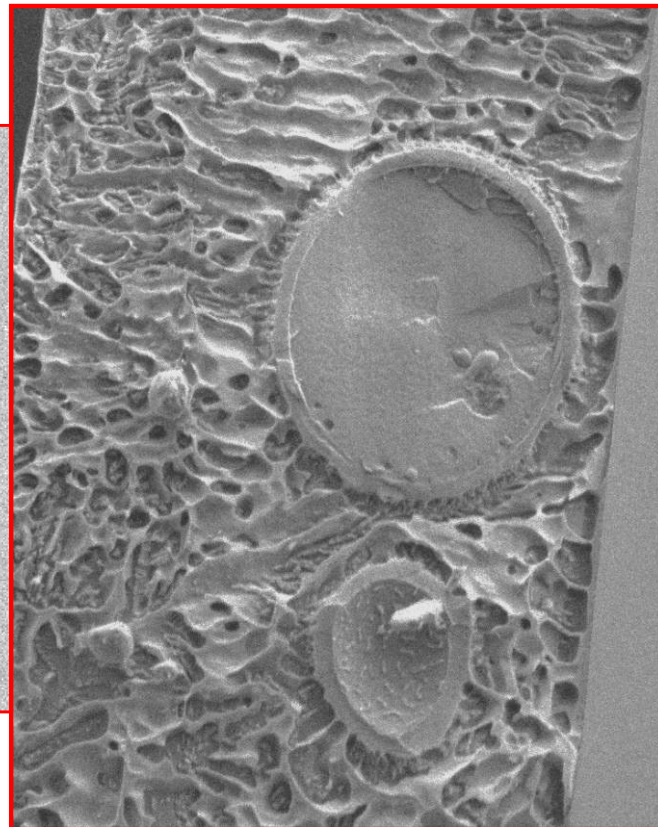
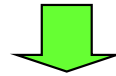
Cryo  
methods

# Characterization of yeast biofilm

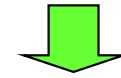
plunging into LN<sub>2</sub>



plunging into liquid propane

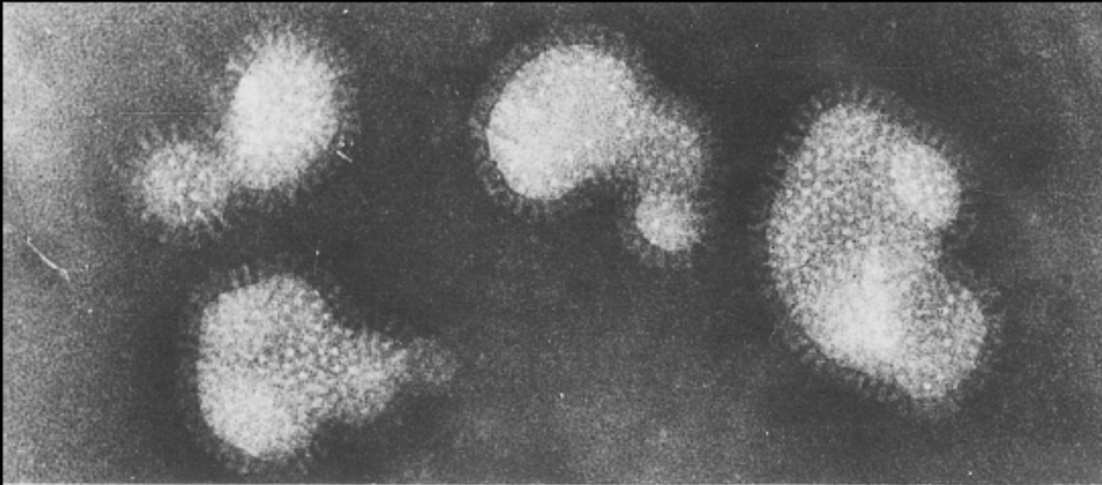
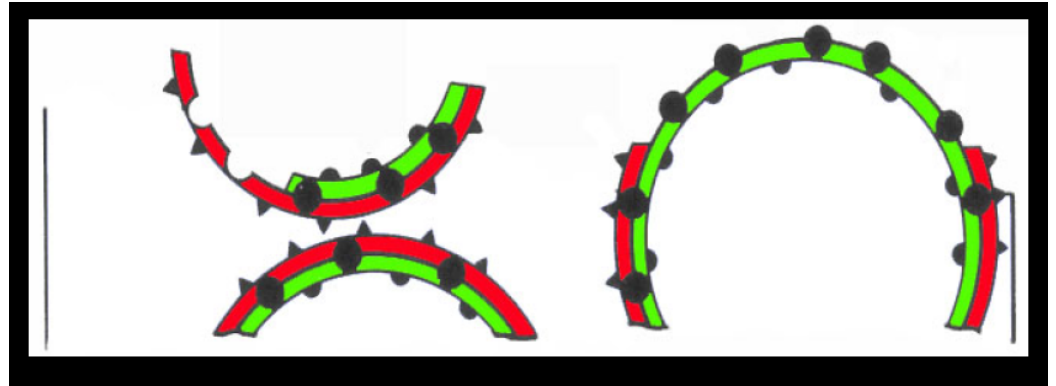


high pressure freezing

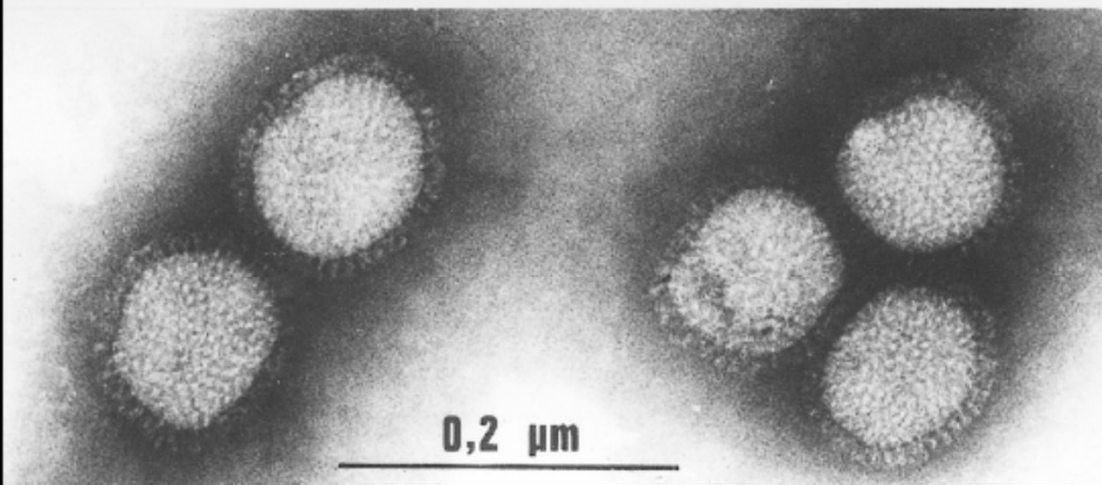


Cryo  
methods

# Freeze drying



Air-dried



Freeze-dried

Nermut, M.V. and Frank, H. (1971) Fine structure of influenza A2 as revealed by negative staining, freeze-drying and freeze-etching. *J. Gen. Virology* 10, 37-51.

Cryo  
methods

# Freeze substitution

Living biological specimen

Cryofixation (HPF)

HPF, plunge freezing

Freeze substitution

Aceton with GA, OsO<sub>4</sub>

Drying (CPD)

- The combination of chemical methods with cryomethods
- The ice is replaced at low temperature by anhydrous solvent
- The organic solvent must be liquid at low temperature and dissolve additives such as osmium tetroxide or GA
- Reduced shrinkage and shape changes
- Resulting in dry samples



FS unit Leica  
Temperature range:  
-90°C - 20 °C



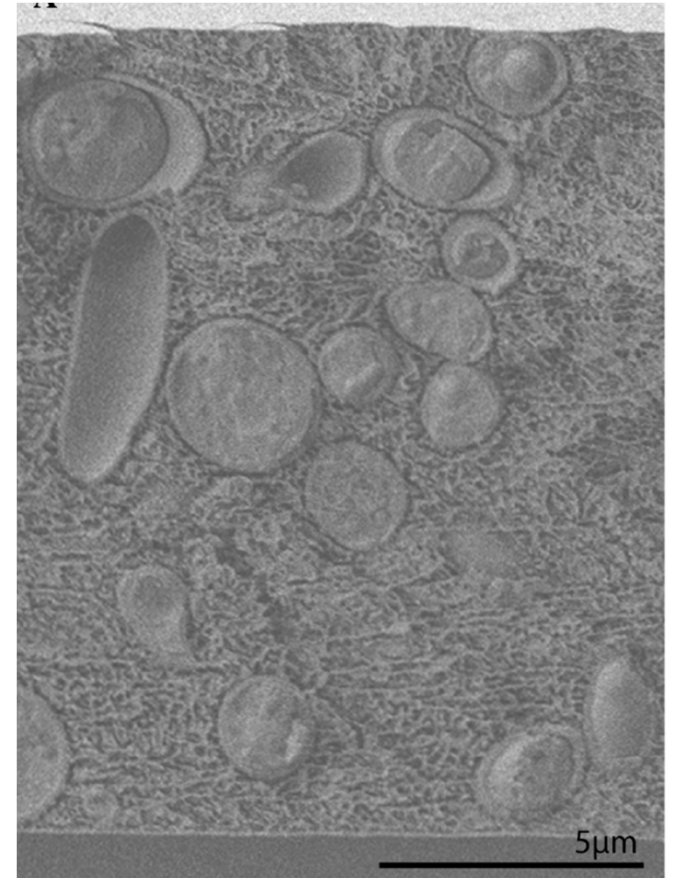
Cryo  
methods

# Application: Characterization of yeast biofilm

*Candida parapsilosis* grown on the glass substrate and fixed by plunging in nitrogen slush



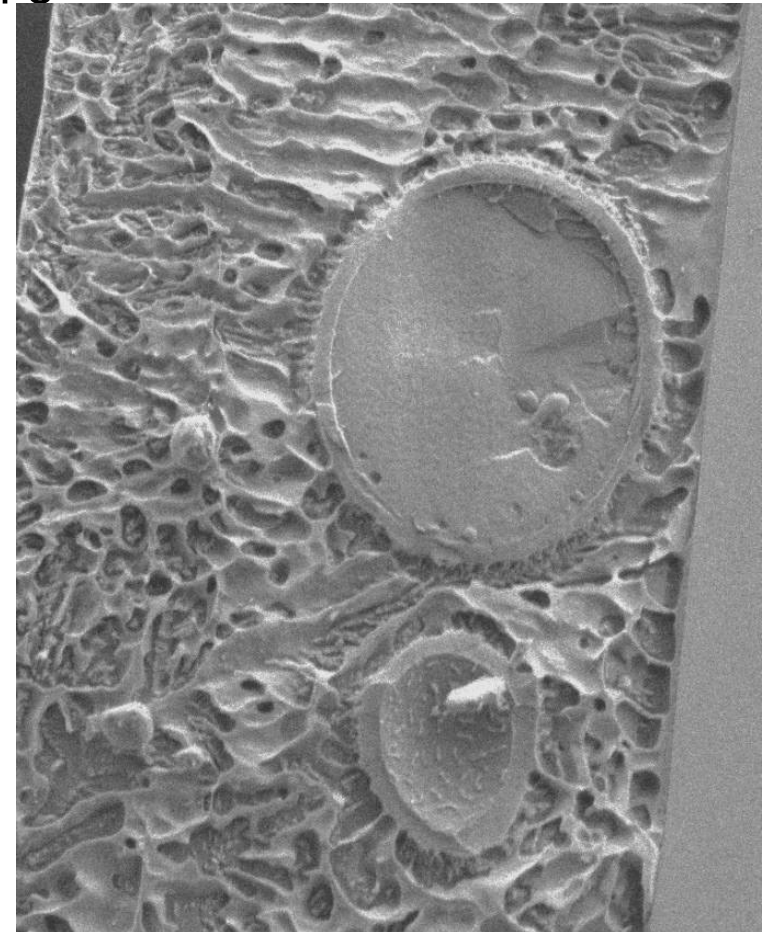
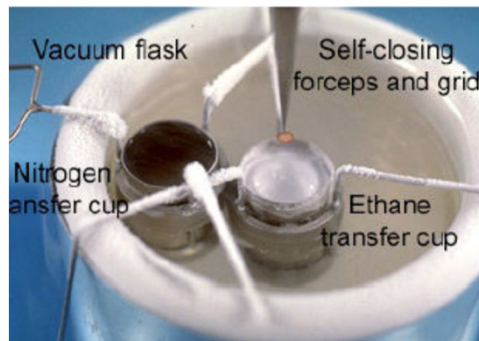
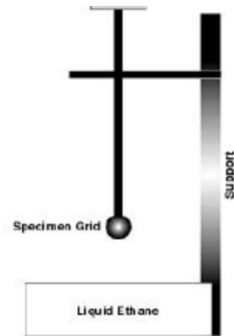
V.Krzyzanek, K.Hurbanová, J.Nebesářová, F.Růžička: Novel technique  
In cryo-SEM freeze fracturing demonstrated on microbial film. Submitted



Cryo  
methods

# Application: Characterization of yeast biofilm

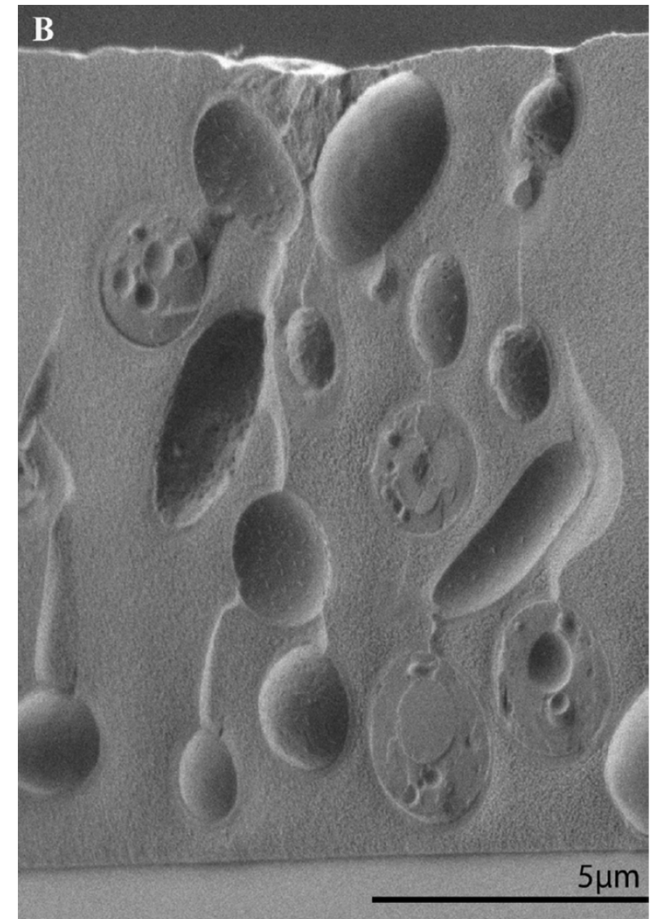
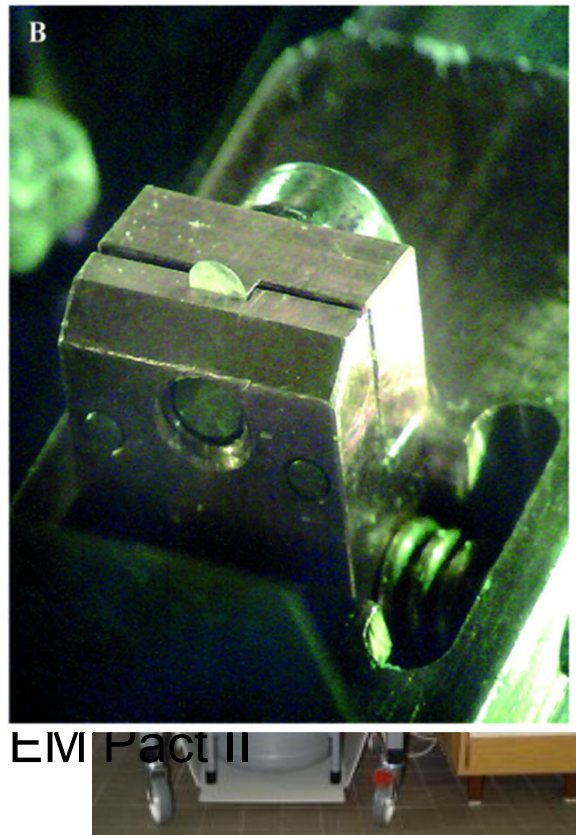
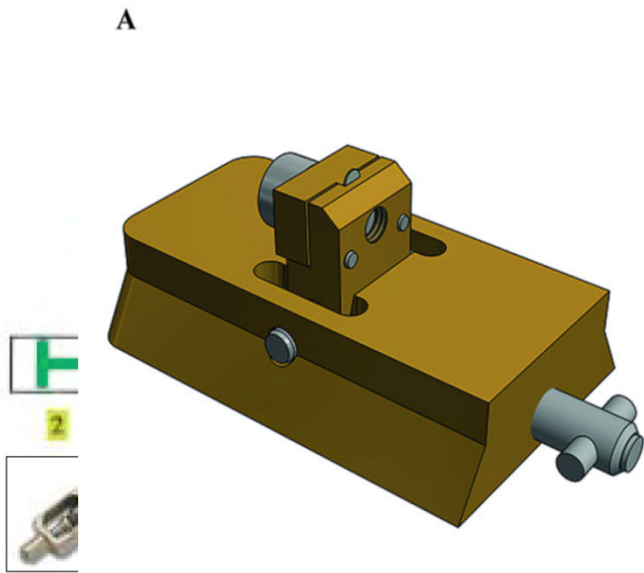
- Freeze fracture of *Candida parapsilosis* grown on
- on a sapphire discs and
- fixed by plunging in
- liquid ethane/propan





Cryo  
methods

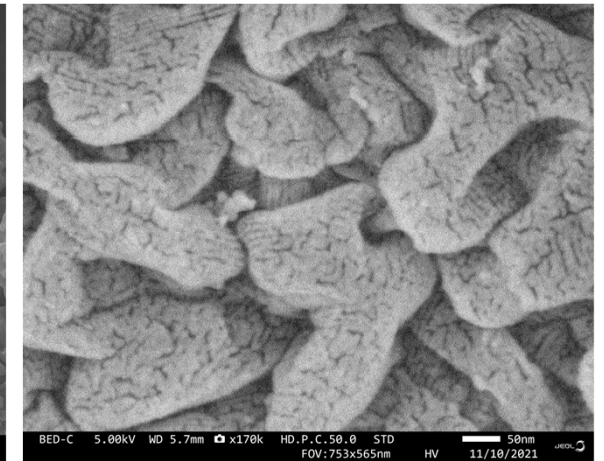
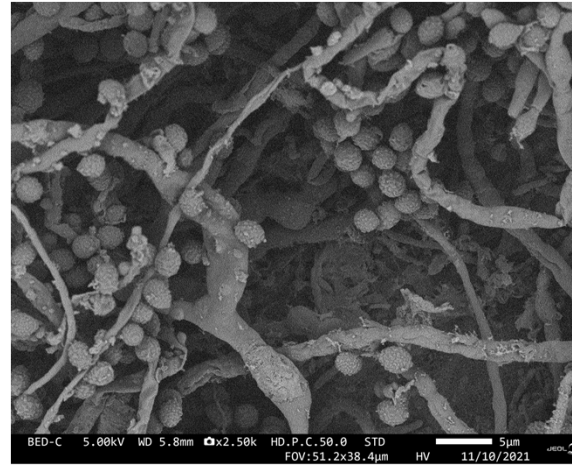
# Application: Characterization of yeast biofilm



HPF Leica EM10 Part II

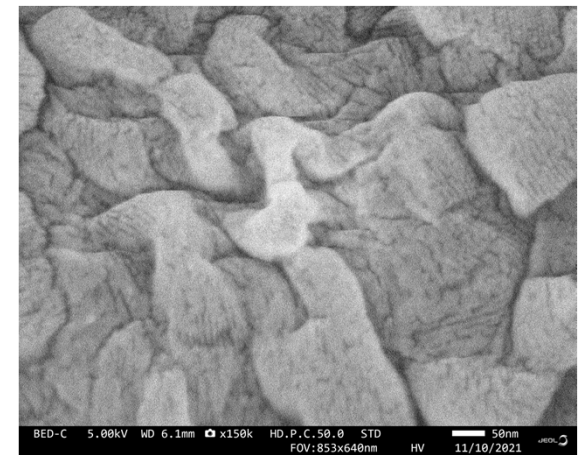
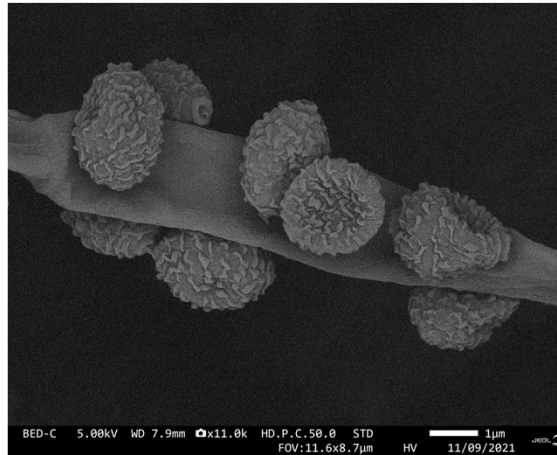
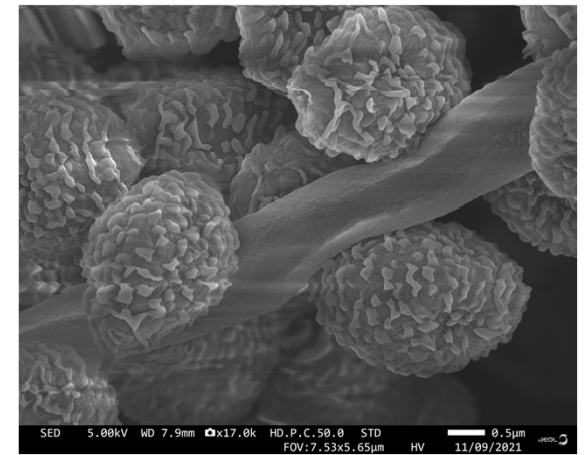
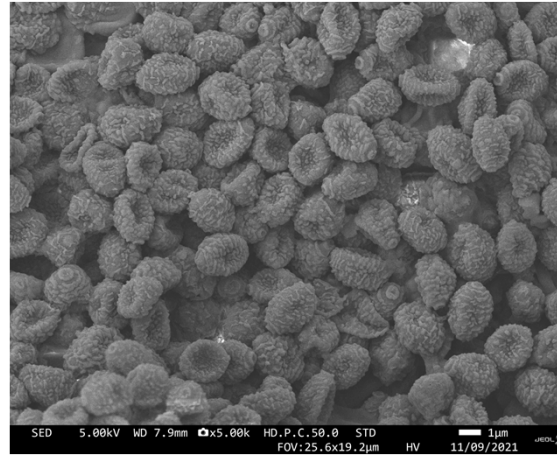
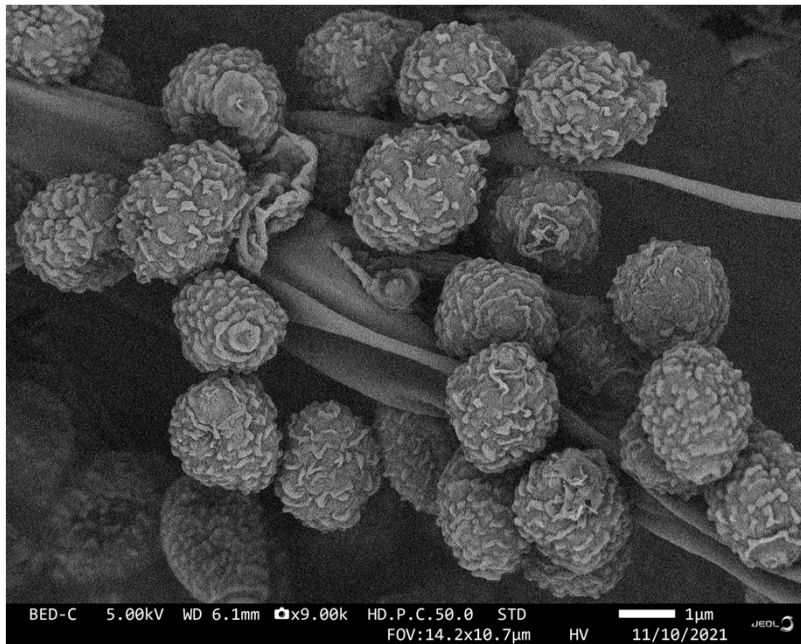
# Penicillium

Fixation: 1% OsO<sub>4</sub>  
Dehydration: acetone  
Drying: CPD  
Coating: Au



# Penicillium

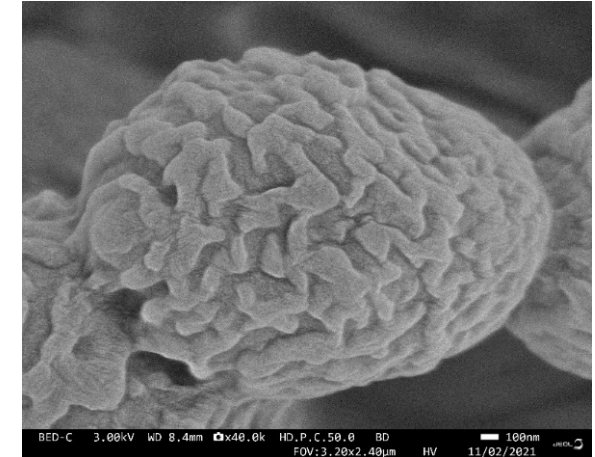
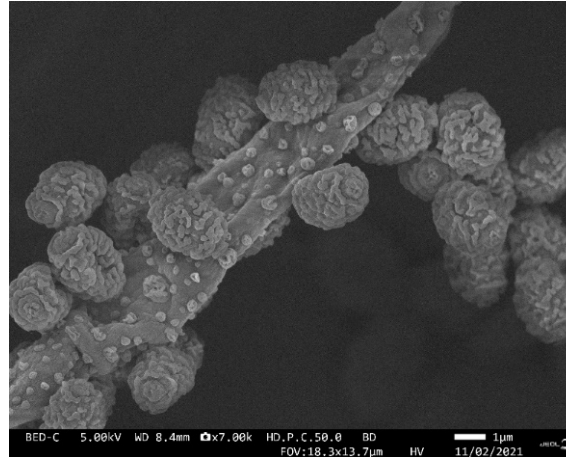
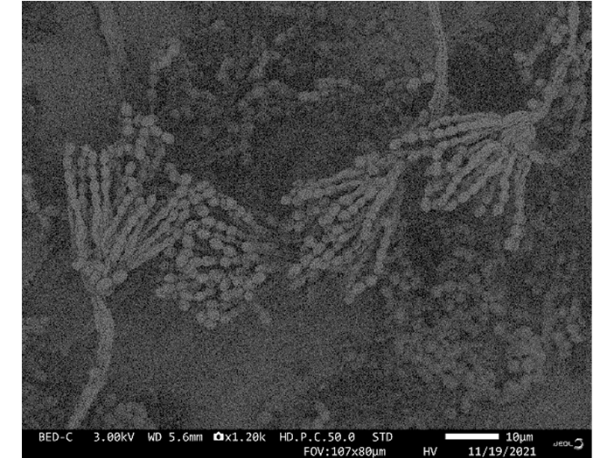
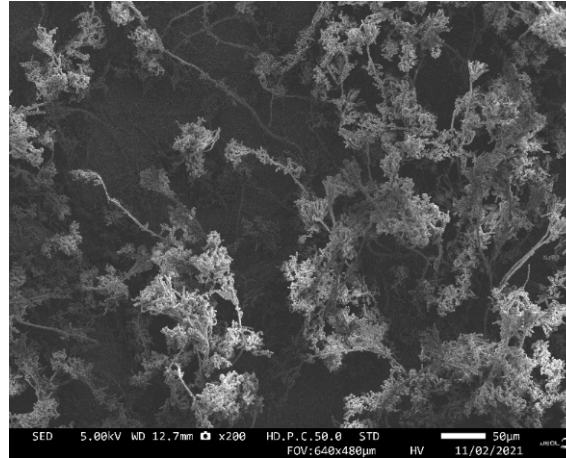
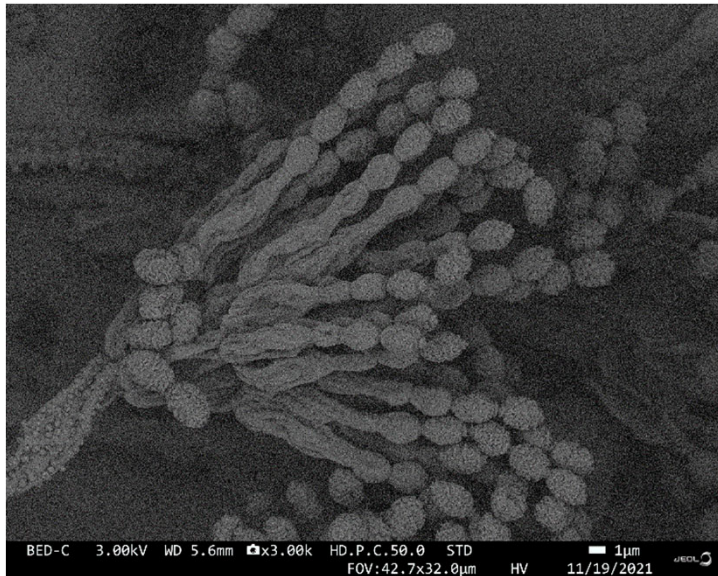
Fixation: OsO<sub>4</sub> vapors at freezer temperature  
Drying: freeze drying  
Coating: Au





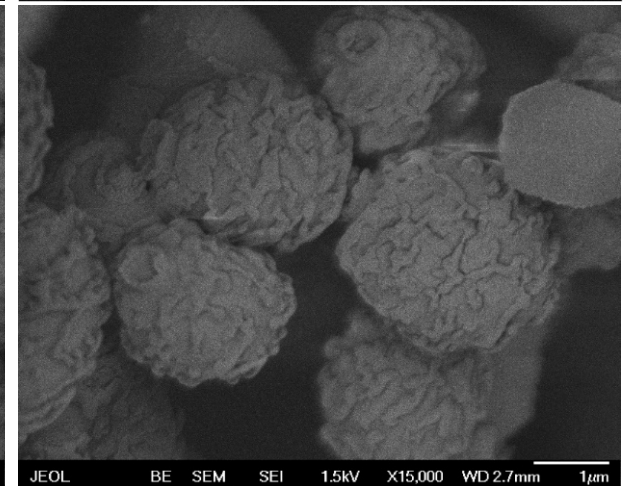
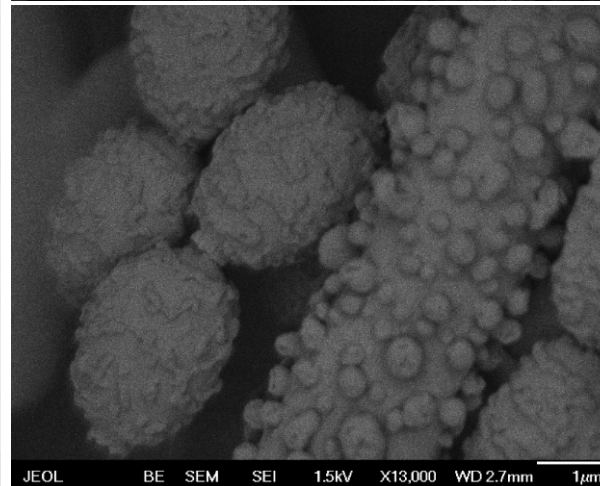
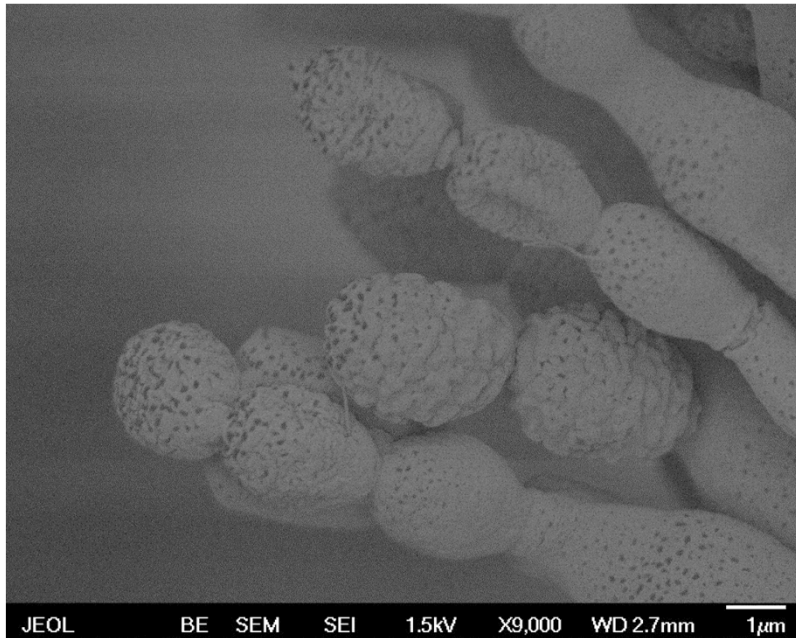
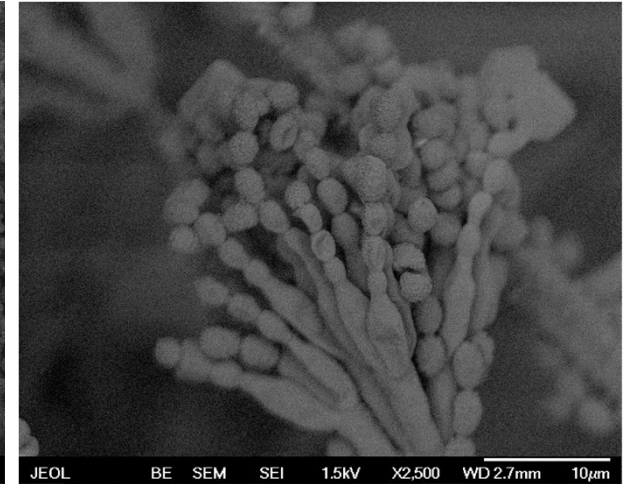
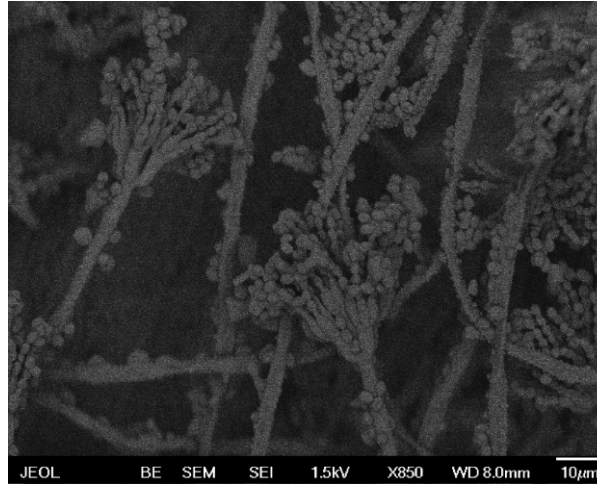
# Penicillium

Fixation: Cryo-fixation  
Freeze substitution:  
acetone with 1%OsO<sub>4</sub>  
Dehydration: acetone  
Drying: CPD, Coating:  
Au



# Penicillium

Cryo-SEM  
Cryo-fixation  
Sublimation at  $-95^{\circ}\text{C}$   
Coating: Pt

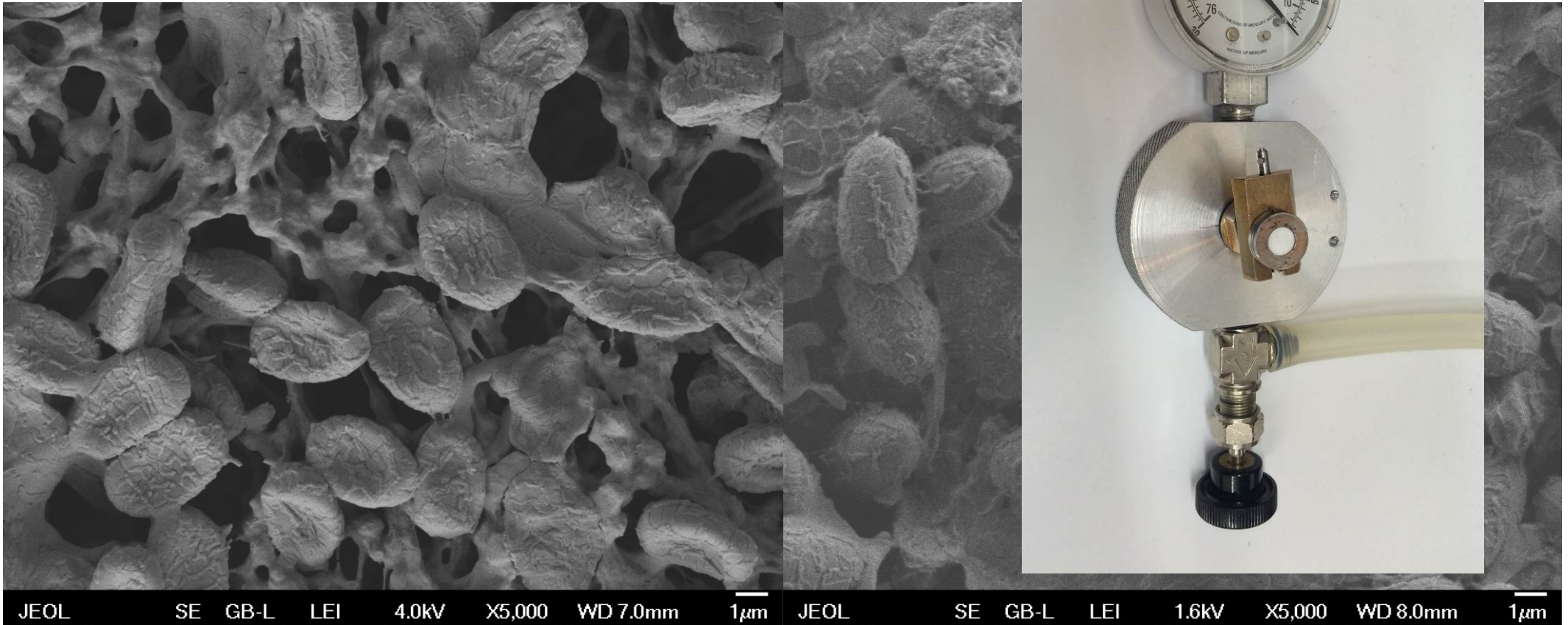




Cryo  
methods

# Freeze drying and cryo SEM

- Suitable filter for water removing



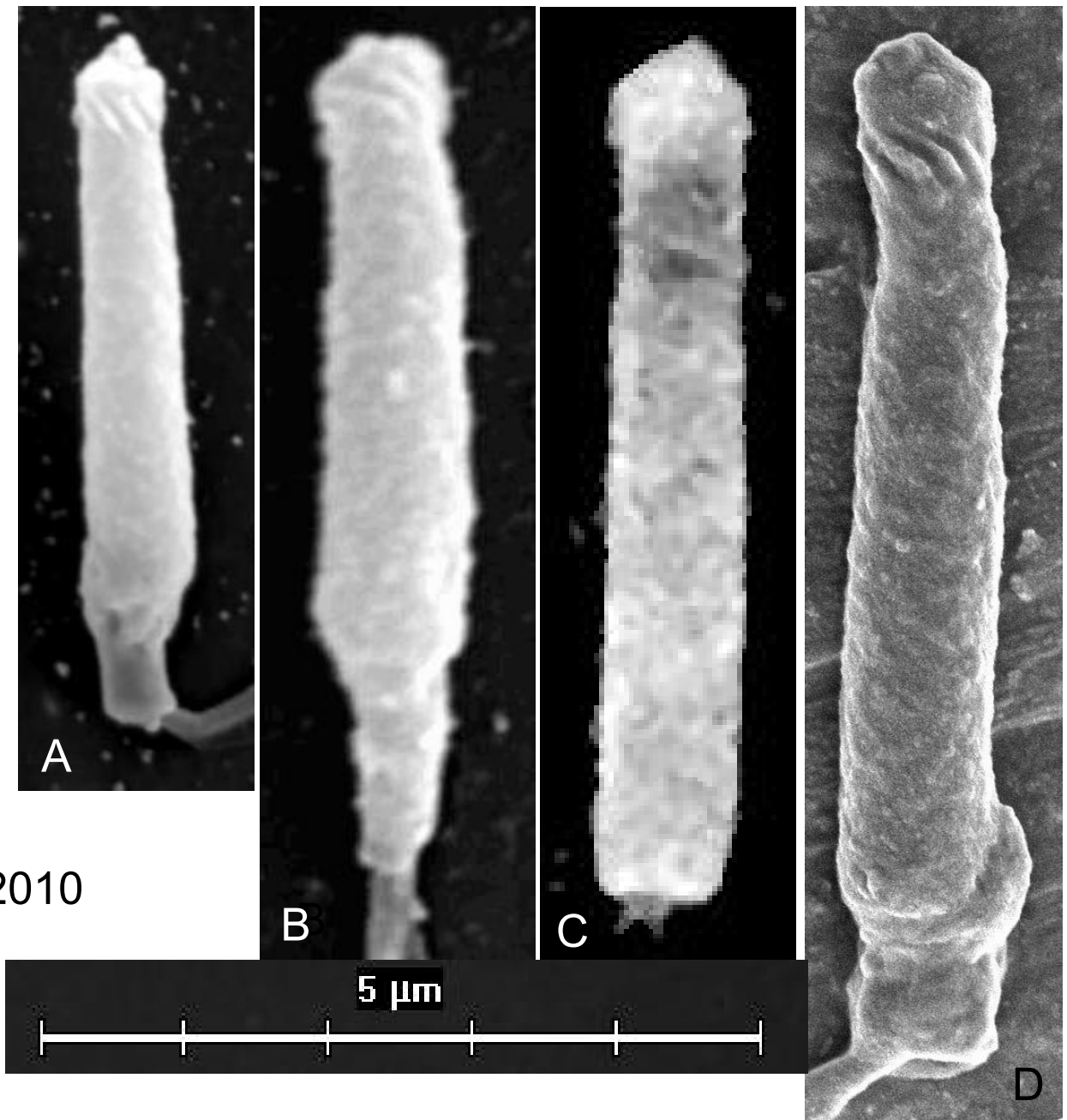
Undescribed species of Microsporidia from microcrustacea (Vavra J. 2013)

# Comparison of sample size prepared by different methods

Size distribution of sturgeon  
Sperm depending on the  
method of preparation:

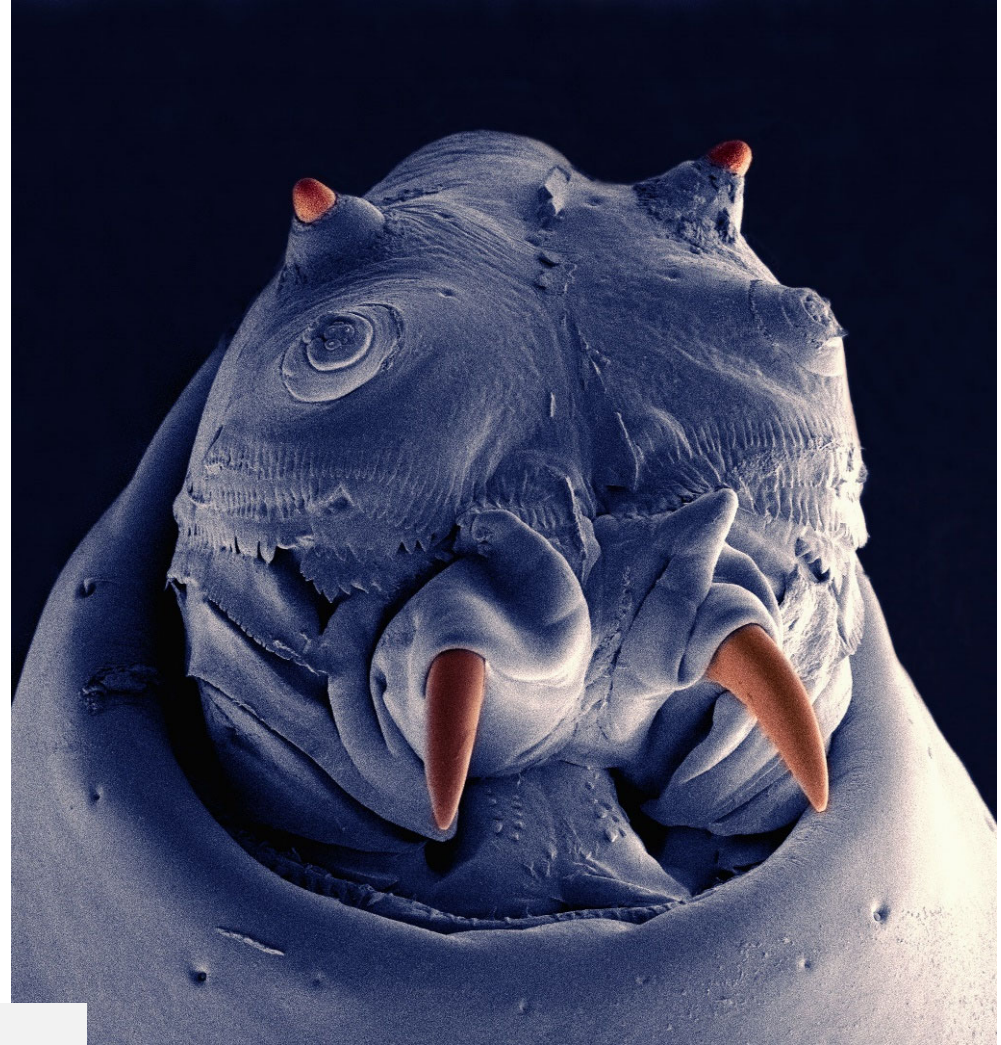
- A/ CPD drying
- B/ t-butylalcohol
- C/ ESEM
- D/ cryo-SEM

Pšenička et.al.: Micron, 41(5), 2010





Thanks for your  
attention!



Cryo-SEM:  
Larva of Chymomyza  
Costata (Drosophilidae)